

City of Philadelphia
Department of Public Health



Regulations Governing the Immunization and
Treatment of Newborns, Children and Adolescents
(Consolidated for Agency Use, July 19, 2019)

We manage the **PhilaVax Immunization Information
System** (formerly KIDS Plus IIS).

**CITY OF PHILADELPHIA
DEPARTMENT OF PUBLIC HEALTH**

**Regulations Governing the Immunization and Treatment of Newborns,
Children and Adolescents**

Table of Contents

SECTION	PAGE
1. Definitions.....	1
2. Immunization Requirements for Attending School.....	2
3. Immunization Requirements for Childcare Settings.....	4
4. Medical Evaluation, Immunization, and Treatment of Minors	6
5. Responsibility and Authority for Reporting Immunization Information on Newborns, Children, and Adolescents.....	6
6. Immunization Registry	7

1. DEFINITIONS

In these Regulations, the following definitions apply:

- (a) *Advisory Committee on Immunization Practices (ACIP)*. The Committee appointed by the Centers for Disease Control and Prevention (CDC) to develop written recommendations for the routine administration of vaccines to the pediatric and adult populations, along with schedules regarding the appropriate periodicity, dosage, and contraindications applicable to the vaccines.
- (b) *Authorized user*. Any person or entity authorized to provide or receive information from an immunization registry, which may include health care providers, parents and guardians, schools and childcare facilities, managed care organizations, and local government health departments and their agents.
- (c) *Board*. The Board of Health of the City of Philadelphia.
- (d) *Coverage rate*. The percent of a given population immune to a specific communicable disease, or combination of diseases, as a result of vaccination or disease history.
- (e) *Child care group setting*. A home or facility in which four or more children who are not related to the operator receive child care.
- (f) *Department*. The Department of Public Health of the City of Philadelphia, the Commissioner of the said Department, or any designated representative thereof.
- (g) *Health care facility*. A facility providing health services, including, but not limited to, a general, chronic disease, or other type of hospital; a private medical practice; a home health care agency; a hospice; a long-term care nursing facility, a cancer treatment center; an ambulatory surgical facility; a birth center; and an inpatient drug and alcohol treatment facility, regardless of whether such health care facility is operated for profit, nonprofit or by an agency of the government.
- (h) *Health care provider*. An individual who is authorized to practice some component of the healing arts by a license, permit, certificate or registration issued by a state licensing agency or board.
- (i) *Immunization status*. The number of dosages of specific vaccine antigens and their respective dates of administration, which are used to determine adequacy of immunization.
- (j) *Medical record*. An account compiled by physicians and other health professionals including a patient's medical history; present illness; findings on physical examination; details of treatment; reports of diagnostic tests; findings and conclusions from special examinations; findings and diagnoses of consultants; diagnoses of the responsible physician; notes on treatment, including medication, surgical operations, radiation, and physical therapy; and

progress notes by physicians, nurses and other health professionals.

- (k) *Record of immunization.* A written document, such as a physician record or other document of similar reliability, reflecting the date and type of immunization.
- (l) *School.* Public, private and parochial schools in Philadelphia, including special education, special classes, vocational programs, intermediate units and home education programs.

2. IMMUNIZATION REQUIREMENTS FOR ATTENDING SCHOOL

- (a) *Required for School Entry Into Kindergarten or First Grade and for Attendance at Any Grade Level.* The following immunizations, whether administered as single vaccines or as components of US Food and Drug Administration approved combination vaccines, given in compliance with the minimum intervals and schedules required for each component vaccine, are required for entry into school at the kindergarten or first grade level and for attendance at all grade levels:
 - (1) *Hepatitis B.* Three properly-spaced doses of hepatitis B vaccine or a history of hepatitis B immunity proved by laboratory testing.
 - (2) *Diphtheria.* Four or more properly spaced doses of diphtheria toxoid, which may be administered as a single antigen vaccine, in combination with tetanus toxoid or in combination with tetanus toxoid and pertussis vaccine. One dose must have been administered on or after the 4th birthday.
 - (3) *Tetanus.* Four or more properly spaced doses of tetanus toxoid, which may be administered as a single antigen vaccine, in combination with diphtheria toxoid or in combination with diphtheria toxoid and pertussis vaccine. One dose must have been administered on or after the 4th birthday.
 - (4) *Pertussis.* Four properly spaced doses of pertussis vaccine in combination with diphtheria and tetanus toxoids, or a physician's documentation of a medical contraindication to pertussis vaccine. One dose shall be administered on or after the 4th birthday.
 - (5) *Poliomyelitis.* Four or more properly spaced doses of any combination of oral polio vaccine or enhanced inactivated polio vaccine. One dose must have been administered on or after the 4th birthday and at least 6 months after the previous dose was given.
 - (6) *Measles (rubeola), Mumps, Rubella (German measles).* Two properly spaced doses of live attenuated vaccine, as the combination vaccine Measles, Mumps, Rubella (MMR) or as Measles, Mumps, Rubella, Varicella (MMRV), according to the routine recommended schedule, or a history of measles, mumps, and rubella immunity proved by serological evidence. The first dose of vaccine must have been given no sooner than 12 months of age, and the

second dose at 4-6 years of age, with a minimum interval of 28 days between doses. Immunization with single antigen vaccines for measles, mumps, and rubella is also acceptable, provided two doses of each were administered at appropriate intervals.

(7) *Varicella (chickenpox)*. One of the following:

- (i) Two doses of varicella vaccine, administered as the single antigen Varicella (V) or as the combination vaccine Measles, Mumps, Rubella Varicella (MMRV). The first dose of vaccine must have been given no sooner than 12 months of age, and the second dose at 4-6 years of age, with a minimum interval of 3 months between doses.
- (ii) A history of chickenpox immunity proved by laboratory testing or a written statement of history of chickenpox disease from a healthcare provider.

(b) *Required for School Entry into Seventh Grade*. In addition to the requirements listed as a condition of attending school at grade levels Kindergarten through 12, the following immunizations, whether administered as single vaccines or as components of U.S. Food and Drug Administration-approved combination vaccines, given in compliance with the minimum intervals and schedules required, are required for entry at the seventh grade level:

- (1) *Meningococcal*. One dose of meningococcal conjugate vaccine quadrivalent (MCV4).
- (2) *Pertussis, tetanus, diphtheria*. One dose of pertussis vaccine administered in combination with tetanus and diphtheria toxoids (Tdap). This dose must have been administered on or after the 10th birthday.

(c) *Required for School Entry into Twelfth Grade*. In addition to the requirements listed as a condition of attending school at grade levels Kindergarten through 12, the following immunizations, whether administered as single vaccines or as components of U.S. Food and Drug Administration-approved combination vaccines, given in compliance with the minimum intervals and schedules, are required for entry at the twelfth grade level:

- (1) *Meningococcal*. Two doses of meningococcal conjugate vaccine quadrivalent (MCV4). If the first dose of MCV4 was given at 16 years of age or older, the second dose is not required for entry onto 12th grade.
- (2) *Pertussis, tetanus, diphtheria*. One dose of pertussis vaccine administered in combination with tetanus and diphtheria toxoids (Tdap). This dose must have been administered on or after the 10th birthday.

(d) *Exemption from Immunization Requirements for School Entry or Attendance*.

- (1) Medical exemption. Children need not be immunized if a physician or the physician's designee provides a written statement that immunization may be detrimental to the health of the child. Such a statement must comply with the list of valid vaccine contraindications as established by the Advisory Committee for Immunization Practices (ACIP). When the physician determines that immunization is no longer detrimental to the health of the child, the child shall be immunized according to this regulation.
 - (2) Religious exemption. Children need not be immunized if the parent, guardian or emancipated child objects in writing to the immunization on the grounds of prohibition based on religious belief or on the basis of a strong moral or ethical conviction similar to a religious belief.
 - (3) Exclusion of exempt students in situations of disease epidemic. In the event the Department declares that there is an epidemic of a vaccine preventable disease, any child who is enrolled in a public, private, or parochial school and who has been exempt from one or more immunization requirement for any of the causes authorized herein shall be temporarily excluded from attendance at the school. An enrollee so temporarily excluded shall be authorized to return to school upon the lifting of the epidemic declaration by the Department.
- (e) *Timing of Required Vaccine Doses.* For students entering Kindergarten through 12th grade, at least one dose of all required vaccines must be received by the first day of school. If a child does not have all required doses of a vaccine, she/he must, within the first 5 days of school:
- (1) Receive the next dose of a required vaccine if medically appropriate; or
 - (2) Have a parent / guardian provide a medical plan, if the next dose is not the final dose of the series; or
 - (3) Have a parent / guardian provide a medical plan, if the next dose is not medically appropriate.

3. IMMUNIZATION REQUIREMENTS FOR CHILDCARE SETTINGS

- (a) *Vaccination requirements.* Each child enrolled in a child care group setting shall be immunized in accordance with most current standards established by the Advisory Committee on Immunization Practices (ACIP), as presented in their Recommended Childhood and Adolescent Immunization Schedule.
- (b) Annual influenza immunization given in compliance with the requisite dose and schedule of any US Food and Drug Administration approved influenza vaccine is required for childcare attendance of all 6 – 59 month old children at any public or private childcare group setting in the City of Philadelphia.

- (1) One dose of a licensed influenza vaccine must be received between August 1st – December 31st of each calendar year while attending a childcare group setting.
 - (2) A second influenza vaccine dose within four weeks of the first dose may be recommended for some children. Receipt of the second dose is not required for childcare attendance.
- (c) *Caregiver responsibilities.* For each child enrolled in a childcare group setting, the person in charge of the group setting shall ensure that a record of immunization is provided for each child enrolled in the childcare group setting by a healthcare provider, or designee, setting forth the vaccines the child has received and their dates of administration (month, day and year). The person in charge of the group setting shall ensure that the records are updated on a regular basis. The immunization status of each enrolled child shall be available for review by the Department, upon request.
- (1) The caregiver at a child care group setting may not accept or retain a child 2 months of age or older at the setting, for more than 60 days, without verification of satisfaction of a child's age-appropriate immunization requirements, or the caregiver has received written documentation of satisfaction of one of the exemptions set forth in section 3(d).
 - (2) Verifications of immunization status shall also specify any vaccination not given due to medical condition of the child and shall state whether the condition is temporary or permanent.
 - (3) If the caregiver receives a written verification explaining that timely vaccination did not occur due to a temporary medical condition, the caregiver shall exclude the child from the child care group setting after an additional 30 days unless the caregiver receives, within that 30-day period, written verification from a healthcare provider that the child was vaccinated or that the temporary medical condition still exists. If the caregiver receives a written verification that vaccination has not occurred because the temporary condition persists, the caregiver shall require the presentation of a new verification at 30-day intervals. If a verification is not received as required, the caregiver shall exclude the child from the child care group setting and not readmit the child until the caregiver receives a verification that meets the requirements of this section.
 - (4) The caregiver shall retain the written verification or objection referenced in paragraph (b) for 60 days following the termination of the child's attendance.
- (d) *Exemptions.* Immunization requirements for childcare settings do not apply with respect to:
- (1) Children who are known by the caregiver to attend a kindergarten, elementary school or high school in Philadelphia.

- (2) A caregiver who does not serve as a caregiver for at least 40 hours during any one month period.

4. MEDICAL EVALUATION, IMMUNIZATION, AND TREATMENT OF MINORS

- (a) *Minor's Consent to Examination and Treatment.* A person between the ages of 11 and 18 may give consent, without the approval or consent of another person, for medical and other health examination, treatment and services to determine the presence of or to treat a sexually transmitted disease and any other disease, infection or condition reportable pursuant to the Disease Prevention and Control Law of 1955 and the regulations adopted thereunder, provided such person is capable of providing informed consent. The health care provider may not be sued or held liable for implementing appropriate diagnostic measures or administering appropriate treatment to the minor if the minor has consented to such procedures or treatment.
- (b) *Minor's Consent to Immunization.* A person between the ages of 11 and 18 may authorize his or her own immunization, without the approval or consent of another person, to prevent occurrence of a reportable disease, infection, or condition, provided such person is capable of providing informed consent. A parent or guardian does not need to be present at the time the vaccine is administered. Written consent by the minor is not required, but documentation that the vaccine information statement (VIS) was provided to the vaccine recipient, and the publication date of the VIS, is required. The health care provider may not be sued or held liable for providing such immunization to the minor if the minor has consented to such procedures or treatment.

5. RESPONSIBILITY AND AUTHORITY FOR REPORTING IMMUNIZATION INFORMATION ON NEWBORNS, CHILDREN, AND ADOLESCENTS

- (a) *Responsibility for Reporting Immunization Information.* Any health care provider who treats or examines a newborn, child, or other person below the age of 19, and any head, superintendent or other person in charge of a health care facility that treats such persons, who has knowledge of administration of any immunization included in the current Advisory Council on Immunization Practices/American Academy of Pediatrics (ACIP/AAP) *Recommended Childhood and Adolescent Immunization Schedule* that are routinely administered to persons less than 19 years of age shall make a report of the immunization to the Department. The report shall be submitted in a content and manner as specified below and in accordance with additional parameters as may be established by the Department.
- (b) *Timing and Method of Reporting.* Reports of immunizations shall be made within 30 days of vaccine administration to the Department's Division of Disease Control, by mail to: Immunization Program, Division of Disease Control, Department of Public Health, 500 S. Broad Street, Philadelphia, PA 19146; or through secure transfer of electronic data in a manner as established by the

department.

(c) *Content of Reports.* All reports shall include the following information:

- (1) Child's name (first, middle and last);
- (2) Child's date of birth;
- (3) Child's sex;
- (4) Name of the child's legal guardian;
- (5) Address of the child's legal guardian;
- (6) Type of immunization;
- (7) Date of vaccine administration (month, day, year);
- (8) Name of the immunization provider;
- (9) Any additional information as the Department may require in connection with a particular type of immunization or case.

6. IMMUNIZATION REGISTRY

- (a) *Authority to Obtain Medical Information on Immunizations.* The Health Commissioner, or designated representative, has the authority to obtain and store medical information, including photocopies of medical records and medical summaries, regarding immunizations governed by this Regulation without a signed authorization release from the patient or patient's representative.
- (b) *KIDS Immunization Registry.* Reported data shall be housed in the KIDS Immunization Registry at the Department. The KIDS Immunization Registry shall provide authorized users with immunization histories, vaccination forecasting tools, and reporting capabilities.
- (c) *Access to the Immunization Registry.* Access to immunization information reported to the Department and maintained in the Immunization Registry shall be limited to authorized users as defined in this regulation. Immunization information shall not be available for records marked to indicate a parent or legal guardian's decision not to participate in the KIDS Registry.
 - (1) Authorized users of the Immunization Registry must be approved by the Department and shall be authorized only as permitted by law.
 - (2) Authorized users of the Immunization Registry must agree to and sign the KIDS Registry Security and Confidentiality Agreement.

- (3) Patient information in the Immunization Registry shall be accessed by an authorized user only to ascertain and monitor immunization status of newborns, children and adolescents for which the authorized user has professional responsibility and in accordance with the data use and confidentiality policies of the KIDS Registry.

(d) *Maintaining Immunization Data.*

- (1) The Department may choose, at its discretion, to maintain immunization data on newborns, children, and adolescents beyond their nineteenth birthday. Such records will be maintained for purpose of documenting prior immunization status and for assisting medical management of individuals in adulthood.
- (2) The Department may choose, at its discretion, to maintain immunization data for adults in the Immunization Registry. Recording adult immunizations in the Registry will be applied when necessary in order to effectively carry out those programs of the Department designed to prevent and control disease and to monitor vaccine coverage rates.